

Ice Polar Stratospheric Clouds Detected from Assimilation of Atmospheric Infrared Sounder Data

Ivanka Stajner,^{1,2} Craig Benson,^{3,2} Hui-Chun Liu,^{1,2} Steven Pawson,² Nicole Brubaker,^{1,2} Lang-Ping Chang,^{1,2} Lars Peter Riishojgaard^{3,2}, and Ricardo Todling^{1,2}

¹ Science Applications International Corporation, Beltsville, Maryland

² Global Modeling and Assimilation Office, NASA Goddard Space Flight Center, Greenbelt, Maryland

³ Goddard Earth Sciences and Technology Center, University of Maryland Baltimore County, Baltimore, Maryland

1 A novel technique is presented for the detection and
2 mapping of ice polar stratospheric clouds (PSCs),
3 using brightness temperatures from the Atmospheric
4 Infrared Sounder (AIRS) “moisture” channel near
5 6.79 μm . It is based on observed-minus-forecast
6 residuals (O-Fs) computed when using AIRS
7 radiances in the Goddard Earth Observing System
8 version 5 (GEOS-5) data assimilation system.
9 Brightness temperatures are computed from six-hour
10 GEOS-5 forecasts using a radiation transfer module
11 under clear-sky conditions, meaning they will be too
12 high when ice PSCs are present. We study whether
13 the O-Fs contain quantitative information about PSCs
14 by comparison with sparse data from the Polar Ozone
15 and Aerosol Measurement (POAM) III solar
16 occultation instrument. AIRS O-Fs lower than -2 K
17 generally coincide with PSCs observed by POAM III.
18 Synoptic maps of AIRS O-Fs lower than -2 K
19 constructed as a proxy for ice PSCs. These are used
20 to investigate spatio-temporal variations of Antarctic
21 PSCs in the year 2004.

23 1. Introduction

25 Polar stratospheric clouds (PSCs) form at
26 extremely low temperatures in the lower stratosphere
27 during Antarctic and Arctic winters. PSCs provide
28 surfaces for heterogeneous chemical reactions
29 leading to subsequent ozone destruction (e.g.
30 Solomon 1999). The abundance of PSCs is
31 determined by the climate and its variability through
32 a very strong dependence on temperature. Their
33 presence controls polar ozone loss, which in turn has
34 a cooling effect on the climate.

35 Most satellite observations of PSCs have been
36 made by occultation or limb sounding instruments
37 with sparse horizontal coverage. They can provide
38 quite detailed information on the vertical distribution
39 and composition of PSCs (e.g. Fromm et al. 1997;
40 Poole et al. 2003; Spang et al. 2005; Höpfner et al.
41 2006).

42 Data from nadir-viewing instruments like TOVS
43 HIRS2 and the Advanced Very High Resolution
44 Radiometer (AVHRR) have provided information

45 about ice PSCs (Meerkötter 1992; Hervig et al. 2001).
46 Maps of ice PSCs were retrieved from differences in
47 radiances in two channels and also allowed distinction
48 between ice PSCs and cirrus. In contrast, even the
49 strongest nitric-acid-trihydrate PSCs cannot be
50 retrieved from AVHRR because their signal falls below
51 AVHRR measurement uncertainty (Hervig et al. 2001).

52 Tropospheric ice clouds can be retrieved from the
53 Atmospheric Infrared Sounder (AIRS) data.
54 Comparisons of AIRS spectra with a radiative transfer
55 model in the window region 10-12.5 μm show
56 signatures of near-micron sized cirrus ice particles
57 (Kahn et al. 2003). Cirrus decreases brightness
58 temperatures in the moisture channels around 7 μm ,
59 independently of the aerosol conditions below the
60 cloud (Hong et al. 2006).

61 AIRS brightness temperatures are among the
62 observations included in the Goddard Earth Observing
63 System version 5 (GEOS-5) data assimilation system.
64 We study differences between AIRS observations that
65 are influenced by clouds and simulated brightness
66 temperatures from GEOS-5 that are calculated under
67 cloud-free conditions. The size of these observed-
68 minus-forecast residuals (O-Fs) will be shown to
69 correlate with the presence of ice PSCs in collocated
70 data from the Polar Ozone and Aerosol Measurement
71 (POAM) III instrument. The high spatial density of
72 AIRS data is then used to construct maps of ice PSCs
73 and evaluate their spatial and temporal variability.

76 2. AIRS data

78 AIRS is a high-resolution spectrometer, with 2378
79 spectral channels between 3.74 and 15.4 μm (e.g.,
80 Aumann et al. 2003). Atmospheric temperature,
81 composition, and cloudiness can be retrieved from
82 AIRS measurements (Susskind et al. 2006). AIRS is
83 one of six instruments on board NASA’s Aqua
84 platform, which flies in a 1:30PM ascending-node orbit
85 with an inclination of 98° at an altitude of 705 km.
86 AIRS provides high spatial data density from 1650 km
87 wide swaths with a nadir footprint of 13.5 km. In polar
88 regions, where the orbits converge, the off-nadir

1 soundings yield information at a variety of synoptic
2 times. Vertical information on thermal structure and
3 composition is limited by the physical constraints on
4 averaging kernels for near-nadir sounders.

5 The analysis presented in this paper focuses on
6 the 6.79- μm moisture channel. Emission from near
7 200 hPa provides the peak contribution to this
8 channel. There is very little sensitivity to the surface
9 and the lower troposphere, even under cold and dry
10 Antarctic winter conditions (Fig. 1). Radiative
11 transfer model simulations under Antarctic conditions
12 indicate that this channel is sensitive to ice clouds at
13 altitudes above the weighting function peak in the
14 colder stratosphere. Simulated brightness
15 temperature is lower when presence of an ice PSC is
16 assumed than under clear-sky conditions, indicating a
17 possibility for detection of ice PSCs using this
18 channel. Other methods for cirrus or PSC detection
19 from infrared radiances use surface-sensitive window
20 channels and rely on the contrast between a warm
21 surface and a cold cloud top, which limits their
22 applicability over the frozen Antarctic continent
23 (Hervig et al. 2001; Kahn et al. 2003; Wei et al.
24 2004).

27 3. Assimilation system

28
29 In GEOS-5, observations are assimilated into the
30 general circulation model using Gridpoint Statistical
31 Interpolation (GSI, Wu et al. 2002). The interface
32 between GSI and the model uses Incremental
33 Analysis Update (Bloom et al. 1996). The
34 community radiative transfer model (CRTM)
35 provides the AIRS observation operator within GSI
36 (Weng and Liu 2003). The present version of CRTM
37 models clear-sky conditions, producing typically
38 negative AIRS O-Fs over clouds.

39 AIRS data volume in the assimilation is reduced
40 by selection of 152 channels, which are a subset of
41 the 281 channels used by Le Marshal et al. (2006).
42 AIRS data are thinned spatially by selecting the scan
43 with the warmest brightness temperature in the
44 window channel near 10.36 μm (i.e. observations that
45 are the least affected by clouds) in each
46 180km \times 180km box. Even though thinned AIRS data
47 are assimilated, the O-Fs in this study are shown
48 without spatial thinning. GEOS-5 was run at 1 $^\circ$
49 latitude by 1.25 $^\circ$ longitude resolution with 72 levels
50 between the surface and 0.01 hPa.

53 4. Comparisons with POAM data

54
55 Ice PSC data from POAM instruments are well
56 studied (Fromm et al. 1997). POAM provides sparse
57 solar occultation data from a single latitude in each

58 hemisphere in one day. Ice PSCs are detected when a
59 downward occultation scan terminates at least 3 km
60 above the tropopause, when large opacity of a PSC
61 reduces the solar radiance to levels below the tracking
62 threshold. Data from POAM III are used here to infer
63 the criteria for PSC signatures in AIRS O-Fs.

64 A map of AIRS 6.79 μm brightness temperature O-
65 Fs (Fig. 2) reveals many values within ± 1 K, denoted
66 by light shades of orange. At the latitude where POAM
67 observed, detected ice clouds (circles) coincide with
68 lower AIRS O-F residuals (blue), and locations without
69 ice PSCs coincide with higher AIRS O-F residuals
70 (orange). POAM scans that terminate between 2 and 3
71 km above the tropopause are marked separately,
72 because a cloud is present, but there is ambiguity
73 whether the cloud top is in the stratosphere or the
74 troposphere. POAM data collected over 24 h are
75 shown. The AIRS O-Fs are shown for the four
76 synoptic times, closest to POAM measurement time in
77 each quadrant. As expected, the agreement between
78 POAM data and AIRS O-Fs is better in the regions
79 where O-Fs are more uniform. Smaller scale variability
80 in O-Fs together with several hours of difference
81 between AIRS and POAM overpasses introduce some
82 discrepancies (in this case near 130 $^\circ\text{E}$ and 300 $^\circ\text{E}$).

83 The time series of POAM data and collocated AIRS
84 O-Fs (within 200 km and 6 h) in August and
85 September 2004 is shown in Fig. 3. AIRS O-Fs are
86 often lower than -2 K in the presence of ice PSCs in
87 POAM data, and higher than -2 K in the absence of ice
88 PSCs. This distinction is more clear for measurements
89 taken within 2 h (red and orange marks). The scatter in
90 O-Fs increases with larger time differences between
91 POAM and AIRS measurements, which is expected
92 due to inhomogeneity of clouds. The time difference
93 between POAM and AIRS is generally larger in
94 August (when POAM measures near 11 am local time)
95 than in September (when POAM measures in late
96 afternoon). Differences in AIRS and POAM
97 measurement times, horizontal resolutions and viewing
98 geometry (see Kahn et al 2002), errors in GEOS-5
99 forecasts (e.g. in upper tropospheric moisture),
100 presence of cirrus clouds, and measurement errors can
101 all contribute to the scatter.

102 Comparisons with POAM data support the
103 hypothesis that AIRS O-Fs for the 6.79- μm channel
104 that are lower than -2 K indicate the presence of ice
105 PSCs.

108 5. Distribution of ice PSCs

109
110 In this section distributions of ice PSCs are inferred
111 from AIRS 6.79- μm O-Fs lower than -2 K. In
112 September, their occurrence is most prevalent between
113 about 70 $^\circ\text{S}$ and the South Pole, near 315 $^\circ\text{E}$, which is to
114 the east of the Antarctic peninsula (Fig. 4a). This
115 location agrees with the location of high frequency of

1 PSCs in POAM II observations and in earlier
2 climatologies (Fromm et al. 1997 and references
3 therein). Topographic gravity waves originating
4 from the Antarctic peninsula contribute to formation
5 of PSCs in this region (Cariolle et al. 1989).

6 In August, two regions show enhanced presence
7 of O-Fs lower than -2 K: east of the Antarctic
8 peninsula, and over the high terrain near 100°E (Fig.
9 4b). The maximum near 100°E is not present in the
10 Fromm et al. (1997) seasonal climatology, which is
11 based on detection of any type of PSCs by POAM II
12 for years 1994-1996 and includes only ice PSCs
13 detected above 17 km altitude. Each of these
14 conditions may contribute to the differences in the
15 distribution of PSCs in longitude. In support of our
16 finding of maximum frequency near 100°E , note that
17 POAM II data in August 1995 show a strong mode in
18 the PSC frequency near 120°E (*op. cit.*).

19 Longitudinal structure in PSCs can arise from
20 temperature perturbations associated with synoptic
21 scale waves (Tuck, 1989). Data from two Antarctic
22 stations, Syowa (69°S , 40°E) and Davis (69°S , 78°E),
23 demonstrate a correlation between these phenomena
24 (Shibata et al., 2003; Innis and Klekociuk 2006).

25 The Antarctic middle stratosphere (near 22 km
26 altitude) was warmer than usual in 2004, with the
27 smallest ozone depletion in August among years
28 1994-1996 and 1998-2004 (Hoppel et al., 2005).
29 However, temperature soundings at the South Pole
30 indicate typical conditions between about 10 and 14
31 km altitude, and even colder than usual near 8 km at
32 Neumayer (70°S , 352°E). Cold temperatures in the
33 upper troposphere and lower stratosphere (UT/LS)
34 allow formation of ice clouds. Some clouds are in
35 the stratosphere according to the POAM data (e.g
36 between 0°E and 100°E in Fig. 2), but tropospheric
37 clouds with cloud tops at the tropopause cannot be
38 ruled out (e.g. near 350°E in Fig. 2).

39 Vertical motion in the UT/LS at 200 hPa shows
40 strongest upwelling near 90°E and 280°E , with
41 downwelling near 0°E and 150°E (Fig. 5). The
42 coldest temperatures extend near 110°E . Thus, high
43 frequency of the ice clouds in Fig. 4b corresponds to
44 the upwelling in a cold region.

45 46 47 **6. Discussion and conclusions**

48
49 Assimilation of AIRS radiances in GEOS-5
50 provides a novel technique for detection of ice clouds
51 in the Antarctic stratosphere. We found that those O-
52 F residuals for AIRS moisture channel near $6.79\ \mu\text{m}$
53 that are lower than -2K typically coincide with
54 POAM III observations of ice PSCs (Fig. 3). The
55 high horizontal resolution of AIRS enables creation
56 of synoptic ice PSC maps (Fig. 2), which can be used
57 for study of PSC frequency (Fig. 4) and variability.

58 Spatial distribution of ice PSCs inferred from O-F
59 residuals in September 2004 agrees with a previous
60 climatology. The distribution in August is quite
61 different, with high frequency of clouds near 100°E .
62 POAM data and GEOS-5 meteorological fields support
63 frequent ice clouds in that region, but some of them
64 may be cirrus clouds. Coarser resolution of POAM
65 near the tropopause does not allow definitive
66 distinction between PSCs and cirrus. In addition, PSCs
67 are often found in cold regions above cirrus clouds,
68 which shield radiation from the warmer troposphere
69 below. Observations of PSCs extending from the
70 tropopause to 21 km altitude (Palm et al. 2005),
71 together with frequent upwelling near the tropopause in
72 August of 2004 suggest a possibility of localized
73 lofting along the trajectories of moist tropospheric air
74 masses and formation of ice clouds as they saturate in
75 the stratosphere.

76 Some of the scatter in the comparisons of AIRS and
77 POAM data (Fig. 3) is likely due to differences in their
78 measurement times. Data from recently launched
79 CALIPSO lidar (Heymsfield et al. 2005), which is
80 coincident with AIRS within a couple of minutes will
81 be used in future comparisons to better characterize the
82 sensitivity of AIRS O-Fs to ice PSCs and cirrus clouds.

83 Assimilation of AIRS radiances improves
84 numerical weather forecasting (McNally et al. 2006; Le
85 Marshal et al. 2006). Better understanding of
86 signatures of PSC and cirrus clouds in the AIRS data
87 could potentially improve impacts of AIRS on weather
88 forecasting.

89 90 **Acknowledgements**

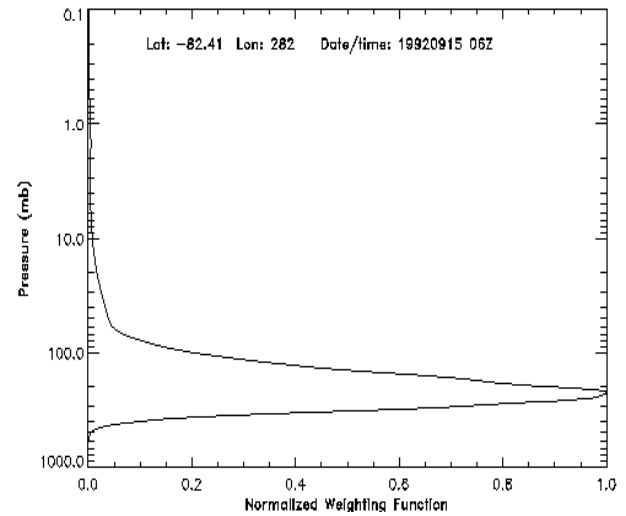
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92 We thank NASA Modeling and Analysis Program
93 for support.

94 95 **References**

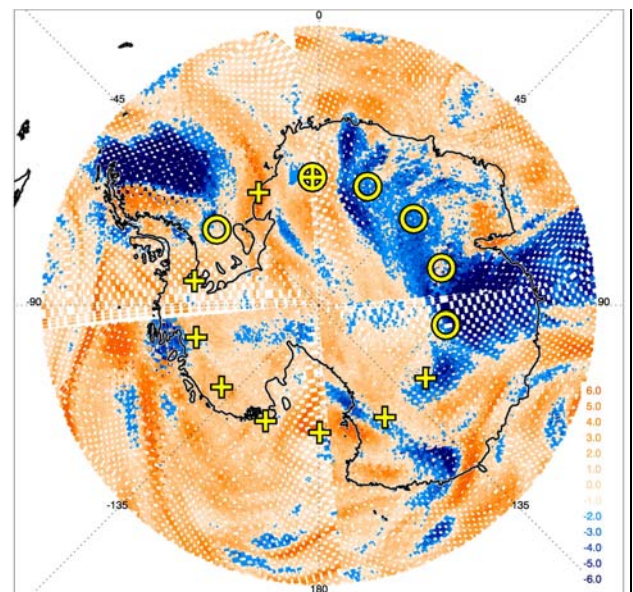
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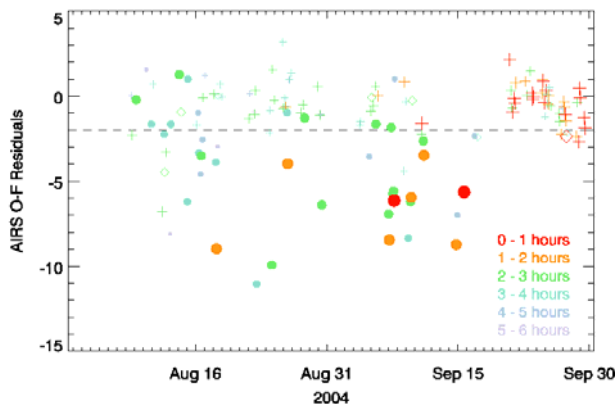


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 88 **Figure 1.** Normalized weighting function for the AIRS
 89 6.79- μm channel at an Antarctic location in September.
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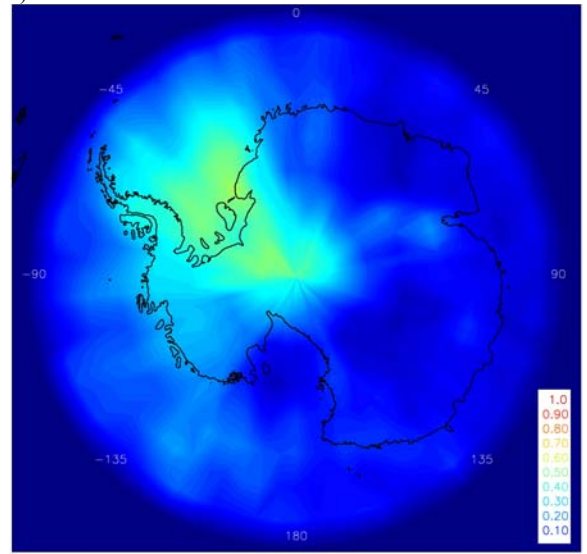
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 2 **Figure 2.** A composite map of AIRS O-Fs in K
 3 (color) for the 6.79- μm channel on August 18, 2004.
 4 POAM data for that day are marked by the presence
 5 of ice PSCs (circle), absence of ice PSCs (plus sign),
 6 or presence of a cloud in the immediate vicinity of
 7 the tropopause (plus inside a circle, see text for
 8 details). In each quadrant AIRS O-Fs for the
 9 synoptic time closest to the POAM measurement
 10 time are shown for the region south of 60°S.
 11 Greenwich meridian points towards the top of the
 12 figure.



16
 17 **Figure 3.** Comparison of AIRS O-Fs with POAM
 18 data within 200 km in August and September 2004.
 19 Color indicates time difference between POAM and
 20 AIRS measurements. POAM profiles with ice PSCs
 21 (●) correspond to lower AIRS O-Fs than POAM
 22 profiles without ice PSCs (+). Separation of O-F
 23 residuals with respect to -2 K (dashed) is more clear
 24 for smaller time differences between POAM and
 25 AIRS (red, orange), than for larger ones (blue,
 26 green). POAM detection of clouds near the
 27 tropopause is marked (◇).

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30 a)

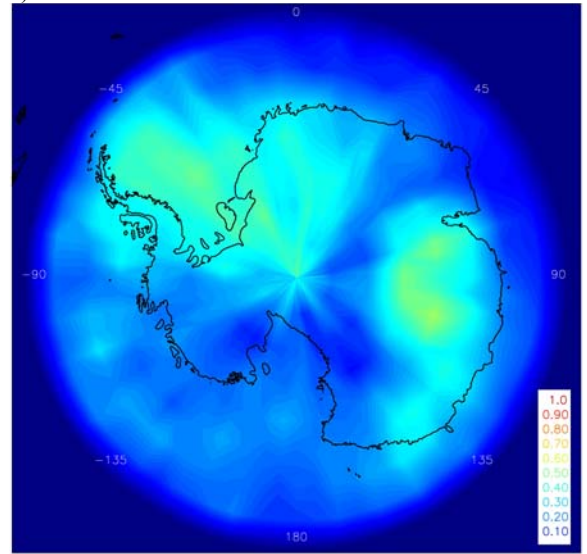


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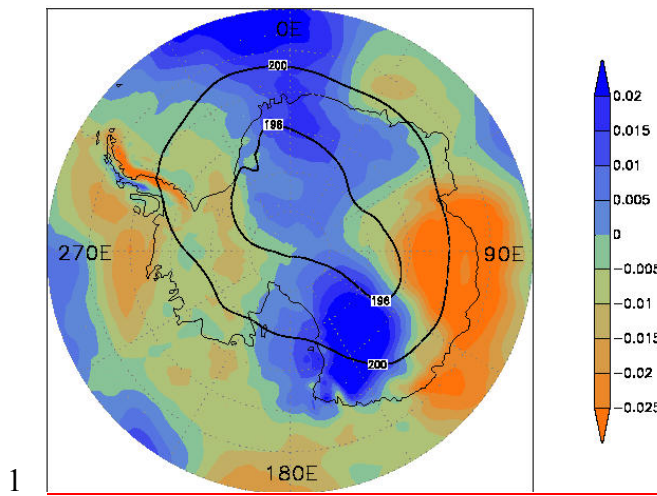
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Figure 4. Maps of the relative frequency of AIRS O-Fs lower than -2 K for the 6.79- μm channel computed for all available data in a) September and b) August 2004.



2 **Figure 5.** Map of the monthly averaged vertical
3 velocity ω in Pa/s (color) and temperature in K
4 (contours) at 200 hPa in August 2004 from GEOS-5.